



2 Numerical study of mode conversion between lower 3 hybrid and whistler waves on short-scale density 4 striations

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7 [1] We present a theoretical and numerical study of linear mode conversion of lower
8 hybrid waves interacting with short-scale density striations in the Earth's ionosphere. The
9 efficiency of the conversion process is investigated for different sets of parameters such as
10 the angle of incidence, the wavelength of the lower hybrid wave, and the size of the
11 striation. It is found that the most efficient whistler generation occurs at a critical angle of
12 incidence where the whistler waves are driven resonantly along the density striations,
13 and when the product of the striation width and the wave number of the lower hybrid wave
14 are of the order unity. It is suggested that whistlers generated as a byproduct of upper
15 hybrid F-region ionospheric heating can be observed on the ground and by satellites. The
16 generated whistlers could be important for the precipitation of energetic electrons in the
17 radiation belts.

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21 1. Introduction

22 [2] Whistler waves are ubiquitous in the Earth's ionosphere and magnetosphere where they propagate along
23 magnetic field lines between the hemispheres. They contribute to the pitch angle scattering and precipitation of
24 radiation belt electrons in the inner radiation belts [Inan and Bell, 1991; Abel and Thorne, 1998a, 1998b]. The whistlers
25 are naturally generated by lightning in the equatorial zone. Their propagation speed varies with the frequency and gives
26 rise to their characteristic descending tone. Recent satellite observations indicate that lightning-induced whistlers contribute
27 to lower hybrid turbulence and ion heating in the equatorial ionosphere [Berthelier et al., 2008]. Whistlers are
28 also generated at shocks in the magnetosphere and at the bow shock, and are thought to be important for fast
29 magnetic reconnection [Deng and Matsumoto, 2001]. Observations with the CLUSTER satellites near the plasmapause
30 revealed low-frequency (100–500 Hz) whistlers correlated with density fluctuations and high-frequency (3–
31 6 kHz) whistlers anti-correlated with the density fluctuations [Moullard et al., 2002]. In the laboratory, whistlers
32 have been observed to be guided along magnetic [Gushchin et al., 2005] and density [Zaboronkova et al., 1992] ducts.
33 The channeling of whistlers along density troughs, crests and gradients has been studied in recent numerical studies
34 [Streltsov et al., 2006]. In the presence of magnetic field aligned plasma irregularities (striations), whistlers can be

mode converted into lower hybrid waves and vice versa. At the Large Plasma Device (LAPD) at UCLA, it was demonstrated
48 experimentally that lower hybrid waves can be generated by whistlers on density striations [Bamber et al.,
49 1995; Rosenberg and Gekelman, 1998], and that lower hybrid waves interacting with density striations have their
50 largest wave fields in the regions of steepest density gradient in the density striations [Rosenberg and Gekelman,
51 2000, 2001]. The generation of whistler waves by lower hybrid waves on density striations has been investigated
52 theoretically for planar density irregularities [Bell and Ngo, 1990], where the possibility of generating whistlers by
53 lower hybrid waves also was studied. In experiments at Arecibo, it was demonstrated that VLF signals can couple
54 into ionospheric ducts and propagate into the conjugate hemisphere as ducted whistlers, where they can parametrically
55 excite lower hybrid waves [Lee and Kuo, 1984]. The generation of whistlers by HF induced lower hybrid waves
56 in the presence of density striations has been investigated theoretically [Borisov, 1995]. During ionospheric heating
57 experiments at the Sura facility near Nizny Novgorod, Russia, whistlers were observed on the top side ionosphere
58 by the Intercosmos-24 satellite [Vas'kov et al., 1998]. Ionospheric density striations created by HF waves can have
59 sizes ranging from a fraction of a meter up to 10-m scale [Thome and Blood, 1974; Minkoff et al., 1974a, 1974b;
60 Minkoff, 1974; Djuth et al., 1985; Kelley et al., 1995].

[3] In the present paper we investigate the generation of low-frequency (in comparison to the electron gyrofrequency)
61 whistler waves by lower hybrid waves interacting with short-scale (in comparison with the whistler wavelength) density striations. The paper focuses on the efficiency
62 of the whistler generation as a function of different

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81 parameters, and the interplay between the whistlers and
 82 lower hybrid waves in the presence of a collection of
 83 density striations. In section 2, we derive the mathematical
 84 model that governs the dynamics of the interaction between
 85 lower hybrid and whistler waves in the presence of density
 86 striations/cavities. The dependence of the efficiency on the
 87 angle of incidence for mode conversion of lower hybrid
 88 waves into whistlers in the presence of striations is derived
 89 in section 3. In section 4, we investigate numerically the
 90 efficiency of whistler generation for different parameters, as
 91 well as the interplay between whistler and lower hybrid
 92 generation in the presence of several striations. Finally,
 93 conclusions are presented in section 5.

94 2. Mathematical Model

95 [4] We derive next the governing equations for coupled
 96 lower hybrid and whistler waves in the presence of density
 97 striations. We assume that the ions are unmagnetized since the
 98 lower hybrid and whistler frequencies are much higher than the
 99 ion gyrofrequency, and that that quasi-neutrality applies.

100 [5] The electromagnetic field is governed by Faraday's
 101 and Ampère's equations,

$$\frac{\partial \mathbf{B}}{\partial t} = -\nabla \times \mathbf{E}, \quad (1)$$

102 and

$$\nabla \times \mathbf{B} = \mu_0 e \tilde{n} (\mathbf{v}_{i1} - \mathbf{v}_{e1}), \quad (2)$$

105 respectively, where μ_0 is the magnetic permeability in
 106 vacuum and e is the magnitude of the electron charge. Here
 107 $\tilde{n}(\mathbf{x}) = n_0 + n_{\text{str}}(\mathbf{x})$ is the zero-order background electron
 108 number density, which is composed of the equilibrium
 109 density n_0 and the "striation density" $n_{\text{str}}(\mathbf{x})$. We have
 110 neglected the displacement current in equation (2) since the
 111 phase speed of the lower hybrid and whistler waves are
 112 much smaller than the speed of light.

113 [6] We shall for simplicity consider cold electrons and
 114 ions $T_e = T_i = 0$, which is valid for wavelengths much longer
 115 than the particle's Debye length. The ion dynamics is
 116 governed by the linearized ion continuity equation equations

$$\frac{\partial n_{i1}}{\partial t} + \nabla \cdot (\tilde{n} \mathbf{v}_i) = 0, \quad (3)$$

117 and the unmagnetized ion momentum equation

$$m_i \tilde{n} \frac{\partial \mathbf{v}_i}{\partial t} = e \tilde{n} \mathbf{E}, \quad (4)$$

120 where m_i is the ion mass. The electrons are governed by the
 121 continuity equation

$$\frac{\partial n_{e1}}{\partial t} + \nabla \cdot (\tilde{n} \mathbf{v}_{e1}) = 0, \quad (5)$$

122 and momentum equation

$$m_e \tilde{n} \frac{\partial \mathbf{v}_{e1}}{\partial t} = -e \tilde{n} \mathbf{E} - e \tilde{n} \mathbf{v}_{e1} \times \mathbf{B}_0, \quad (6)$$

where m_e is the electron mass, and $\mathbf{B}_0 = B_0 \hat{\mathbf{z}}$ is the
 125 geomagnetic field directed in the z direction. The assump-
 126 tion of quasineutrality $n_{e1} = n_{i1} \equiv n_1$ combined with the
 127 continuity equations leads to the condition
 128

$$\nabla \cdot (\tilde{n} \mathbf{v}_{i1}) = \nabla \cdot (\tilde{n} \mathbf{v}_{e1}), \quad (7)$$

for the velocity fields, and we have the continuity equation 129

$$\frac{\partial n_1}{\partial t} + \nabla \cdot (\tilde{n} \mathbf{v}_{e1}) = 0. \quad (8)$$

It is now convenient to introduce the ion and electron 132
 particle current fields $\mathbf{j}_{i1} = \tilde{n} \mathbf{v}_{i1}$ and $\mathbf{j}_{e1} = \tilde{n} \mathbf{v}_{e1}$, respectively. 133
 Then equations (2)–(8) yield 134

$$\nabla \times \mathbf{B} = \mu_0 e (\mathbf{j}_{i1} - \mathbf{j}_{e1}), \quad (9)$$

$$m_i \frac{\partial \mathbf{j}_{i1}}{\partial t} = e \tilde{n} \mathbf{E}, \quad (10)$$

$$m_e \frac{\partial \mathbf{j}_{e1}}{\partial t} = -e \tilde{n} \mathbf{E} - e \mathbf{j}_{e1} \times \mathbf{B}_0, \quad (11)$$

$$\nabla \cdot \mathbf{j}_{i1} = \nabla \cdot \mathbf{j}_{e1}, \quad (12)$$

$$\frac{\partial n_1}{\partial t} + \nabla \cdot \mathbf{j}_{e1} = 0. \quad (13)$$

The mode coupling between the lower hybrid and whistler 143
 waves is mediated by the $\tilde{n} \mathbf{E}$ -terms in the right-hand sides of 145
 equations (10) and (11). 146

[7] In order to cast the slow-timescale equations into a 147
 numerically more convenient form, we now add equations (10) 148
 and (11), take the divergence of the resulting equation, and 149
 use equation (12) to eliminate $\nabla \cdot \mathbf{j}_{i1}$. The result is 150

$$\frac{\partial}{\partial t} \nabla \cdot \mathbf{j}_{e1} = -\frac{e}{m_i} \nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0), \quad (14)$$

where we used $m_i \gg m_e$. On the other hand, taking the 152
 double curl of equation (11) yields 153

$$\frac{\partial}{\partial t} [\nabla(\nabla \cdot \mathbf{j}_{e1}) - \nabla^2 \mathbf{j}_{e1}] = -\frac{e}{m_e} \nabla \times [\nabla \times (\tilde{n} \mathbf{E} + \mathbf{j}_{e1} \times \mathbf{B}_0)], \quad (15)$$

where we used the vector identity $\nabla \times (\nabla \times \mathbf{j}_{e1}) = 155$
 $\nabla(\nabla \cdot \mathbf{j}_{e1}) - \nabla^2 \mathbf{j}_{e1}$. Taking the gradient of equation (14) 156
 and subtracting the result from equation (15) yields 157

$$\begin{aligned} -\frac{\partial}{\partial t} \nabla^2 \mathbf{j}_{e1} &= -\frac{e}{m_e} \nabla \times [\nabla \times (\tilde{n} \mathbf{E} + \mathbf{j}_{e1} \times \mathbf{B}_0)] \\ &+ \frac{e}{m_i} \nabla [\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)]. \end{aligned} \quad (16)$$

159 We now use that $\nabla \times (\tilde{n}\mathbf{E}) = \nabla \times (n_{str}\mathbf{E}) + n_0 \nabla \times \mathbf{E} = \nabla \times$
 160 $(n_{str}\mathbf{E}) - n_0 \partial \mathbf{B} / \partial t$, to obtain

$$l \frac{\partial}{\partial t} \left(\nabla^2 \mathbf{j}_{e1} + \frac{en_0}{m_e} \nabla \times \mathbf{B} \right) = \frac{e}{m_e} \nabla \times [\nabla \times (n_{str}\mathbf{E} + \mathbf{j}_{e1} \times \mathbf{B}_0)] - \frac{e}{m_i} \nabla [\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)]. \quad (17)$$

162 The magnetic field is computed from the divergence free
 163 part of the electron current in equation (9), as $\nabla \times \mathbf{B} =$
 164 $\mu_0 e \nabla^{-2} [\nabla (\nabla \cdot \mathbf{j}_{e1}) - \nabla^2 \mathbf{j}_{e1}]$, so that equation (17) takes
 165 the form

$$\frac{\partial}{\partial t} (\lambda_e^2 \nabla^2 - 1) \mathbf{j}_{e1} = \frac{e\lambda_e^2}{m_e} \nabla \times [\nabla \times (n_{str}\mathbf{E} + \mathbf{j}_{e1} \times \mathbf{B}_0)] - \frac{e}{m_i} \nabla^{-2} (\lambda_e^2 \nabla^2 - 1) \nabla [\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)], \quad (18)$$

167 where we used equation (14) to eliminate the $\nabla \cdot \mathbf{j}_e$ term,
 168 and where $\lambda_e = c/\omega_{pe}$ is the electron skin depth, c is the
 169 speed of light in vacuum, $\omega_{pe} = (n_0 e^2/\epsilon_0 m_e)^{1/2}$ is the
 170 electron plasma frequency, and ϵ_0 is the electric permittivity
 171 in vacuum. The ∇^{-2} operator corresponds to k^{-2} in Fourier
 172 space, where $k^2 = k_y^2 + k_z^2$, and where k_y and k_z are the y and z
 173 components of the wavevector. In the pseudospectral method
 174 used in the numerical simulations below, we set the Fourier
 175 components corresponding to $k = 0$ to zero in the numerical
 176 approximation of ∇^{-2} .

177 [s] In order to calculate the beating between the lower
 178 hybrid waves and the striations in the $n_{str}\mathbf{E}$ -term, we need to
 179 determine the electric field. The curl of the electric field is
 180 given by equation (1), and we also need the divergence of
 181 the electric field to determine it completely. Taking the
 182 divergence of equation (10) with $\tilde{n} \approx n_0$ and noting that
 183 $\partial \nabla \cdot \mathbf{j}_{i1} / \partial t = \partial \nabla \cdot \mathbf{j}_{e1} / \partial t$, we replace the time derivative
 184 with the right-hand side of equation (14) to obtain

$$\nabla \cdot \mathbf{E} \simeq - \frac{\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)}{n_0}, \quad (19)$$

185 where we used that $m_i \gg m_e$. Using the vector identity
 186 $\nabla^2 \mathbf{E} = \nabla (\nabla \cdot \mathbf{E}) - \nabla \times (\nabla \times \mathbf{E})$ together with equations (1)
 187 and (19) we obtain

$$\nabla^2 \mathbf{E} \simeq - \frac{\nabla [\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)]}{n_0} + \nabla \times \frac{\partial \mathbf{B}}{\partial t}. \quad (20)$$

190 Taking the curl of equation (9) with $|\nabla \times \mathbf{j}_{i1}| \ll |\nabla \times \mathbf{j}_{e1}|$
 191 and $\nabla \cdot \mathbf{B} = 0$ yields $\nabla^2 \mathbf{B} = \mu_0 e \nabla \times \mathbf{j}_{e1}$. Solving for \mathbf{B} ,
 192 inserting the result into (20), and eliminating the time
 193 derivative with the help of equation (18), gives the electric
 194 field as

$$\nabla^2 \mathbf{E} \simeq - \frac{\nabla [\nabla \cdot (\mathbf{j}_{e1} \times \mathbf{B}_0)]}{n_0} - \frac{1}{n_0} (\lambda_e^2 \nabla^2 - 1)^{-1} \nabla \times [\nabla \times (\mathbf{j}_{e1} \times \mathbf{B}_0)]. \quad (21)$$

196 Equation (18), supplemented by equation (21), governs the
 197 interaction and mode conversion between lower hybrid and
 198 whistler waves in the presence of density striations.

2.1. Separation Into Coupled Lower Hybrid and Whistler Equations

199
200

[9] It is convenient to simplify equation (18) by separa-
 201 ting it into one equation for electrostatic lower hybrid waves
 202 and one for whistler waves, which are coupled via the
 203 striation. In doing so, we decompose the electron particle
 204 current density as $\mathbf{j}_{e1} = \mathbf{j}_{LH} + \mathbf{j}_W$ and the electric field as $\mathbf{E} =$
 205 $\mathbf{E}_{LH} + \mathbf{E}_W$ where the subscripts LH and W denotes ‘‘lower
 206 hybrid’’ and ‘‘whistler’’, respectively. The lower hybrid
 207 waves are almost completely electrostatic and have wave
 208 their vectors almost perpendicular to the magnetic field
 209 lines. The short wavelength ($|\lambda_e^2 \nabla^2| \gg 1$) lower hybrid
 210 evolution equation is obtained from equation (18) as
 211

$$\frac{\partial \mathbf{j}_{LH}}{\partial t} = \nabla^{-2} \left\{ \frac{e}{m_e} \nabla \times [\nabla \times (n_{str}\mathbf{E}_W + \mathbf{j}_{LH} \times \mathbf{B}_0)] - \frac{e}{m_i} \nabla [\nabla \cdot (\mathbf{j}_{LH} \times \mathbf{B}_0)] \right\}, \quad (22)$$

where the $n_{str}\mathbf{E}_W$ term represents the coupling of the
 213 whistler electric field to the lower hybrid waves via the
 214 density striation. On the other hand, for the whistler waves
 215 we neglect the influence of the ions due to their large mass,
 216 so that the whistler evolution equation is obtained from
 217 equation (18) as
 218

$$\frac{\partial \mathbf{j}_W}{\partial t} = - \frac{e\lambda_e^2}{m_e} (1 - \lambda_e^2 \nabla^2)^{-1} \nabla \times [\nabla \times (n_{str}\mathbf{E}_{LH} + \mathbf{j}_W \times \mathbf{B}_0)], \quad (23)$$

where the $n_{str}\mathbf{E}_{LH}$ term couples the lower hybrid electric
 220 field to the whistler waves via the density striation. The low-
 221 frequency, long-wavelength whistlers are characterized by
 222 $|\lambda_e^2 \nabla^2| \ll 1$, but we keep the $\lambda_e^2 \nabla^2$ term in equation (23) for
 223 numerical convenience since it limits the whistler frequency
 224 to the electron gyrofrequency at short wavelengths. For
 225 $n_{str} = 0$, equations (22) and (23) are decoupled and we
 226 have pure whistler and lower hybrid waves. The electro-
 227 static field of the lower hybrid wave is obtained from
 228 equation (21) as
 229

$$\mathbf{E}_{LH} = - \frac{1}{n_0} \nabla \nabla^{-2} [\nabla \cdot (\mathbf{j}_{LH} \times \mathbf{B}_0)], \quad (24)$$

while the whistler electric field is in the long wavelength
 231 limit $|\lambda_e^2 \nabla^2| \ll 1$ obtained as
 232

$$\mathbf{E}_W = - \frac{1}{n_0} \mathbf{j}_W \times \mathbf{B}_0. \quad (25)$$

Equations (22)–(25) are the desired set of equations for
 234 the mode conversion between lower hybrid and whistler
 235 waves in the presence of density striations.
 236

3. Condition for Resonant Mode Conversion

238

[10] The most efficient mode conversion of lower hybrid
 239 waves into parallel (to the magnetic field lines) propagating
 240 whistlers is likely to occur when there is a simultaneous
 241 matching between the frequencies and the parallel compo-
 242 nents of the wave numbers of the lower hybrid waves and
 243

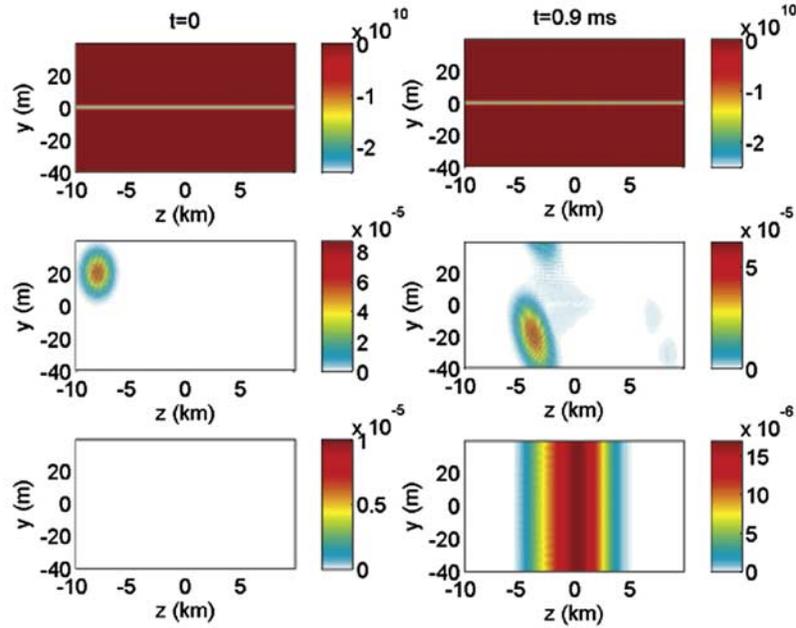


Figure 1. Generation of whistler waves by lower hybrid waves in the presence of a density striation, initial condition $t = 0$ (left), and at $t = 0.9$ ms (right). The striation n_{str} (a, b) has the width $D_{str} = 1$ m, has a maximum density depletion of 5% of the background density, and is aligned with the geomagnetic field lines. The y component of the electric field (c, d) shows the lower hybrid wave with the wave number $k = 1.5 \text{ m}^{-1}$ and the angle of incidence given by $\cos(\theta) = 0.093$. The lower hybrid wave envelope is initially centered at $y = 20$ m, $z = -8$ km (c). At $t = 0.9$ ms (d), it has past over the striation and has reached $y = -15$ m, $z \simeq -3$ km. The amplitude of the whistler magnetic field, $c|\mathbf{B}|$, is shown in Figures 1e and 1f. The whistler waves have a wavelength of ~ 450 m and is propagating along the magnetic field lines in the rightward direction.

244 the whistler waves, so that the whistler waves are driven
 245 resonantly along the magnetic field aligned density stri-
 246 ations. The dispersion relation for lower hybrid waves in the
 247 electrostatic limit ($\lambda_e^2 k^2 \gg 1$) is given by

$$\omega^2 = \frac{\omega_{ce}\omega_{ci}k_{\perp}^2 + \omega_{ce}^2 k_z^2}{k_{\perp}^2 + k_z^2}, \quad (26)$$

249 while that of low-frequency whistler waves ($\lambda_e^2 k^2 \ll 1$),
 250 propagating parallel to the magnetic field lines, is given by

$$\omega = \frac{c^2 k_z^2}{\omega_{pe}^2} \omega_{ce}. \quad (27)$$

252 Using $k_z = k \cos(\theta)$ and $k_{\perp} = k \sin(\theta)$, we obtain from
 253 equations (26) and (27)

$$\cos^2(\theta) = \frac{1}{2} \frac{\omega_{pe}^4}{c^4 k^4} + \sqrt{\frac{1}{4} \frac{\omega_{pe}^8}{c^8 k^8} + \frac{m_e \omega_{pe}^4}{m_i c^4 k^4}}. \quad (28)$$

255 We note that equation (28) is independent of the magnetic
 256 field.

257 4. Numerical Results

258 [11] In order to study the coupling between lower hybrid
 259 and whistler waves in the presence of magnetic field aligned
 260 density striations, equations (22)–(25) are solved numeri-
 261 cally. We use a rectangular simulation box with periodic

boundary conditions. The box size is $L_y \times L_z = 80 \times 10000$ 262
 m, and we use typically $N_y \times N_z = 300 \times 150$ grid points to 263
 resolve the solution, so that the grid sizes become $\Delta y =$ 264
 $L_y/N_y \approx 0.27$ m and $\Delta z = L_z/N_z \approx 67$ m. The box sizes L_y , 265
 and L_z have been chosen much larger than the wavelengths 266
 in the y and z direction, respectively, to avoid finite box 267
 size effects. The criterium for choosing grid sizes is that 268
 one must have more than two grid points per wavelength 269
 to represent the solution on the numerical grid. Hence in 270
 the z dimension we must have $k_z < \pi/\Delta z$, and in the y 271
 dimension we must also take into account the mixing 272
 between the wave and the striation (the $n_{str} E_{LH}$ and n_{str} 273
 E_W terms) so that the condition becomes $k_y + k_{str} \ll \pi/\Delta y =$ 274
 $\pi N_y/L_y$ to avoid aliasing effects, where $k_{str} = 1/D_{str}$ is the 275
 spectral width of the striation. The grid size is in some cases 276
 decreased to ensure that the solution is resolved on the 277
 numerical grid. A pseudo-spectral method is used to ap- 278
 proximate the spatial derivatives, and a Runge-Kutta 279
 scheme is used to advance the solution in time, with the 280
 time step $\Delta t = 3 \times 10^{-7}$ s. 281

[12] For concreteness we use parameters of the iono- 282
 spheric F region given by $n_0 = 5 \times 10^{11} \text{ m}^{-3}$, $m_i/m_e =$ 283
 29500 (oxygen ions) and $B_0 = 4.8 \times 10^{-5}$ T. The magnetic 284
 field $\mathbf{B}_0 = B_0 \hat{z}$ is aligned with the z axis. The electron 285
 plasma frequency is $\omega_{pe} = 4 \times 10^7 \text{ s}^{-1}$ and the electron 286
 gyrofrequency is $\omega_{ce} = 8.44 \times 10^6 \text{ s}^{-1}$. 287

[13] Figure 1 shows the time evolution of a lower hybrid 288
 wave interacting with one density striation, and the gener- 289

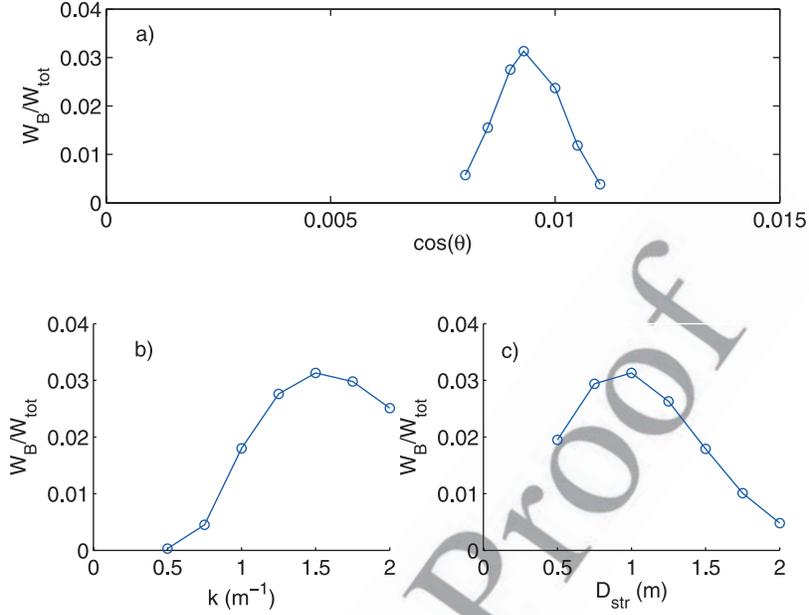


Figure 2. The efficiency of whistler generation (generated whistler energy divided by total energy) as a function of (a) $\cos(\theta) = k_z/k$ with $k = 1.5 \text{ m}^{-1}$ and $D_{str} = 1 \text{ m}$, (b) of k with $D_{str} = 1 \text{ m}$, and (c) of D_{str} with $k = 1.5 \text{ m}^{-1}$. The circles indicate measured values. The plots in Figures 2b and 2c are done with the resonant angle of incidence given by equation (28).

290 ation of whistler waves. The striation density, which is
291 aligned with the z axis, is given by

$$n_{str} = -n_{0,str} \exp\left(-\frac{y^2}{D_{str}^2}\right), \quad (29)$$

293 where D_{str} is the width of the striation, and $n_{0,str}$ is the
294 magnitude of the density striation; we use $n_{0,str} = 0.25 \times$
295 10^{11} m^{-3} (corresponding to 5% of the background number
296 density n_0). The striation width is set to $D_{str} = 1 \text{ m}$. As initial
297 conditions for our simulation, we use

$$j_{1x} = j_0(y, z) \frac{\omega_{ce}}{\omega} \sin(k_y y + k_z z), \quad (30)$$

$$j_{1y} = j_0(y, z) \cos(k_y y + k_z z), \quad (31)$$

301 and

$$j_{1z} = -j_0(y, z) \frac{(\omega_{ce}^2 - \omega^2)}{\omega^2} \frac{k_z}{k_y} \cos(k_y y + k_z z), \quad (32)$$

303 where the frequency ω is given by the lower hybrid
304 dispersion relation (equation (26)), and the amplitude of the
305 wave packet is given as a Gaussian envelope

$$j_0(y, z) = 10^{10} \exp\left[-\frac{(y-20)^2}{D_y^2} - \frac{(z+8000)^2}{D_z^2}\right], \quad (33)$$

307 where the pulse widths are taken to be $D_y = 10 \text{ m}$ and $D_z =$
308 1000 m in the y and z directions, respectively. For the lower
309 hybrid wave, we use the wave number $k = 1.5 \text{ m}^{-1}$ and the
310 resonance condition (equation (28)) for the angle, yielding
311 $\cos(\theta) = 0.0093$, $k_{\perp} \equiv k_y = \approx 1.5 \text{ m}^{-1}$ and $k_z \approx 0.0138 \text{ m}^{-1}$.
312 We see in Figure 1 that the lower hybrid wave packet,
313 initially centered at $y = 20 \text{ m}$ and $z = -8 \text{ km}$ propagates

obliquely (perpendicularly to the wave number) and crosses
the striation centered at $y = 0$. At $t = 0.85 \text{ ms}$, it has
traversed the striation and has reached $y = -15 \text{ m}$, $z \approx -3 \text{ km}$.
During the interaction between the lower hybrid wave
and the striation, whistlers have been excited and are
propagating along the magnetic field lines in the right-
ward direction (see Figure 1f). The whistler waves have a
wavelength of $2\pi/k_z \approx 450 \text{ m}$.

[14] In Figure 2, we repeat the numerical experiment of
Figure 1 and allow the lower wave packet to propagate and
cross the striation for several sets of parameters. At the end
of the simulation, we measure the total magnetic energy of
the whistler wave, and calculate the efficiency of the energy
conversion from the lower hybrid to the whistler wave. We
define the conversion efficiency as the ratio between the
magnetic (whistler) energy W_B and the total energy of the
lower hybrid wave packet, $W_{tot} = W_e + W_i + W_B$, where W_e
and W_i are the kinetic energy of the electrons and ions,
respectively. The energies are obtained as

$$W_B = \int \frac{B^2}{2\mu_0} d^2x \simeq \frac{m_e}{2\lambda_e^2 n_0} (\nabla^{-2} \nabla \times j_{e1})^2 d^2x, \quad (34)$$

$$W_e = n_0 \int \frac{m_e v_e^2}{2} d^2x = \frac{m_e}{2n_0} \int j_{e1}^2 d^2x, \quad (35)$$

and

$$W_i = n_0 \int \frac{m_i v_i^2}{2} d^2x \simeq \frac{m_i}{2n_0} \int [\nabla^{-2} \nabla (\nabla \cdot j_{i1})]^2 d^2x, \quad (36)$$

where the integrals are taken over the simulation box. We
use the same parameters as in Figure 1, except for the
parameters that are varied as described below. In Figure 2a,
the wave number is set to 2 m^{-1} and the angle of incidence
 θ is varied. Here, equation (28) predicts that there will be

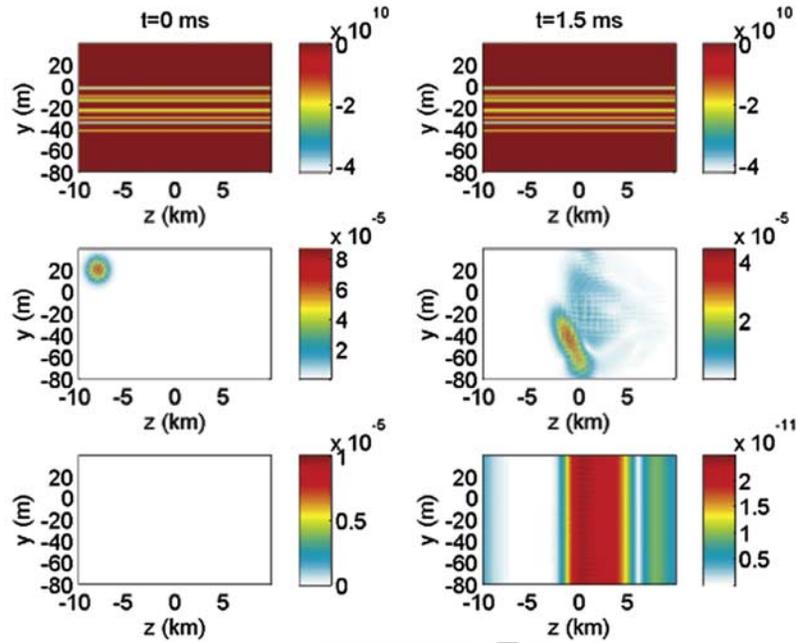


Figure 3. Generation of whistler waves by lower hybrid waves in the presence of a collection of density striations, initial condition $t = 0$ (left), and at $t = 1.5$ ms (right). The 11 striations (a, b) have a width of $D_{str} = 1$ m and an amplitude of 5% of the background density, and are randomly spaced and centered at $y = -41.88$ m, $y = -34.63$ m, $y = -33.86$ m, $y = -29.83$ m, $y = -24.19$ m, $y = -21.82$ m, $y = -14.39$ m, $y = -12.53$ m, $y = -9.65$ m, $y = -2.51$ m, and $y = -1.62$ m. The initial parameters for the lower hybrid wave are the same as in Figure 1. The y component of the electric field (c, d) shows the lower hybrid waves at $t = 0$, centered at $y = 20$ m, $z = -8$ km (c), and at $t = 1.5$ ms (d). The amplitude of the whistler wave magnetic field $c|\mathbf{B}|$ is shown in Figures 3e and 3f.

343 resonant mode conversion for $\cos(\theta) = 0.0093$, and that the
 344 generated whistler waves will have a wave number $k_z =$
 345 0.0138 m^{-1} corresponding to a wavelength of ~ 450 m. We
 346 see in Figure 2a that the maximum efficiency is $\sim 3\%$, and
 347 that the efficiency is strongly peaked at $\cos(\theta) = 0.0093$ as
 348 predicted by equation (28). In Figure 2b, we vary the wave
 349 number of the lower hybrid wave, while keeping the
 350 striation width $D_{str} = 1$ m constant. We see that there is an
 351 optimal conversion of lower hybrid waves when $kD_{str} \approx$
 352 1.5 . In Figure 2c, we vary the striation width D_{str} for a fixed
 353 value of $k = 1.5 \text{ m}^{-1}$ and observe that there is a maximum
 354 conversion efficiency at $D_{str} = 1$ m. The results of Figures 2b
 355 and 2c indicate that there is a maximum generation of
 356 whistler waves for $D_{str}k \approx 1.5$.

357 [15] The interaction of the lower hybrid wave packet with
 358 a collection of striations is studied in Figures 3 and 4. The
 359 box size used is $L_y \times L_z = 120 \times 10,000$ m, and we used
 360 $N_y \times N_z = 300 \times 200$ grid points to resolve the solution. Here,
 361 there are 11 striations randomly spaced between $z \sim -40$ m
 362 and $z \sim 0$; see Figure 3. The parameters are the same as in
 363 Figure 1, where the wave number $k = 1.5 \text{ m}^{-1}$ of the
 364 lower hybrid wave, together with the resonance condition
 365 (equation (28)), gives $\cos(\theta) = 0.0093$ for the angle of
 366 incidence. In the simulation, we observe that the lower hybrid
 367 waves initially interact with the density striations to excite
 368 whistler waves. The whistler waves, on the other hand,
 369 generate lower hybrid waves in the interaction with the
 370 density striation. At the end of the simulation, exhibited in
 371 the right of Figure 3, we see that lower hybrid waves have
 372 been excited over a large region in space.

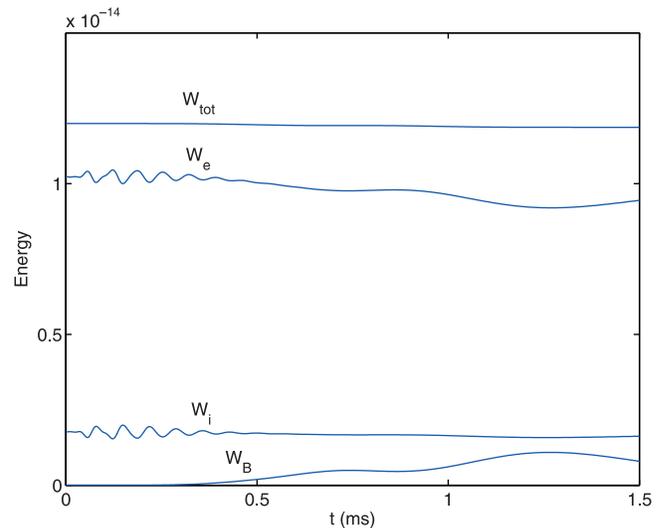


Figure 4. The particle kinetic energies associated with the lower hybrid oscillations W_e and W_i , and the whistler wave magnetic energy W_B as a function of time, for the simulation in Figure 3. The total energy $W_{tot} = W_e + W_i + W_B$ is almost constant throughout the simulation. Energy is initially ($t < 1.25$ ms) converted from lower hybrid wave into magnetic energy of the whistler wave, but at later times ($t > 1.25$ ms) some of the whistler wave energy is converted back to lower hybrid wave energy.

[16] In Figure 4, we have plotted the energies of the lower hybrid and whistler waves. Initially there is an efficient excitation of whistler waves, and the magnetic energy reaches about 10% of the total energy. At later times, the whistler energy is returned back to the lower hybrid waves and the whistler energy decreases to about 7% of the total energy at the end of the simulation. Hence short-scale density striations are not only important for the generation of whistlers by lower hybrid waves, but also for the absorption of whistler waves and the generation of lower hybrid waves. This was also observed in laboratory experiments at LAPD by Rosenberg and Gekelman [1998] who studied the mode conversion of incident whistler waves into lower hybrid waves on a single striation. In the laboratory experiment, the width of the striation was 3–4 times the lower hybrid wave length, which corresponds roughly to the most favorable case of mode conversion found here (see Figures 3b and 3c).

5. Discussion

[17] We have developed a simple model for the mode conversion of lower hybrid waves into whistler waves in the presence of density striations. On the basis of the numerical results, we have found that there is a critical angle of incidence of the lower hybrid waves to the striation, where the whistler waves are driven resonantly and there is a maximum efficiency of whistler generation. Furthermore, the whistler wave generation is most efficient when the product of the striation width and the wave number of the lower hybrid wave is of the order unity. Typical efficiencies of whistler wave generation (whistler energy divided by total energy) is a few percent. In the presence of a collection of density striations, there is an efficient generation of whistler waves by lower hybrid waves, and also an efficient absorption of whistler waves and re-generation of lower hybrid waves. Hence in the presence of short-scale density striations in the Earth's ionosphere and magnetosphere, whistlers may be absorbed and prevented to propagate over large distances. The investigation has relevance for forthcoming ionospheric heating experiments with HAARP, in which striations and lower hybrid waves are generated at the upper hybrid layer, and where whistler waves are expected to be generated by the interaction between lower hybrid waves and the density striations. The whistler waves could be useful for the pitch angle scattering and precipitation of energetic electrons in the Earth's radiation belts. The detection of whistlers can be done on the ground and by overflying spacecrafts.

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